



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/813,415	03/21/2001	Mark Dilman	1-6	2405

26291 7590 07/30/2004

MOSER, PATTERSON & SHERIDAN L.L.P.
595 SHREWSBURY AVE, STE 100
FIRST FLOOR
SHREWSBURY, NJ 07702

EXAMINER

BILGRAMI, ASGHAR H

ART UNIT PAPER NUMBER

2143

DATE MAILED: 07/30/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/813,415

Applicant(s)

DILMAN ET AL.

Examiner

Asghar Bilgrami

Art Unit

2143

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 March 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1 through 12 are rejected under 35 U.S.C. 102(e) as being unpatentable over Mandal (U.S. 6,170,009).

As per claims 1 & 7 Mandal disclosed a method for monitoring usage of resources in a plurality of elements each capable of communicating with a centralized management station, comprising the steps of computing in each of the elements, a localized value indicative of the usage, in said element, of said resources, and, responsive to said localized value, communicating a message to said central station (col.2, lines 51-57, col.3, lines 45-67), and responsive to receipt or absence of receipt of said message in said centralized management station, obtaining information from at least another one of said elements indicative of the usage of said resources in said other element (col.4, lines 1-14).

3. As per claim 2 Mandal disclosed the method of claim 1, wherein said message includes said localized value (col.6, lines 1-18).

Art Unit: 2143

4. As per claim 3 Mandal disclosed the method of claim 2, wherein said method further includes the step of using the information from at least another one of said elements as well as said localized value to estimate the global usage of said resources in all of said elements (col.6, lines 1-27).

5. As per claim 4 Mandal disclosed the method of claim 1, wherein said localized value is indicative of the present usage of resources by said elements and said computing step includes comparing said localized value to a fixed threshold value (col.3, lines 45-67).

6. As per claim 5 Mandal disclosed the method of claim 1, wherein said localized value is indicative of the rate of change of usage of resources by said elements and said computing step includes comparing said localized value to a fixed threshold value (col.3, lines 29-67).

7. As per claim 6 Mandal disclosed the method of claim 1, further including the step of responsive to the results of said polling, adjusting the use of resources at one or more of said elements (col.6, lines 1-27).

8. As per claim 7 Mandal disclosed a method for monitoring usage of resources in a plurality of elements each capable of communicating with a centralized management station, comprising the steps of asynchronous reporting of events when the resource usage in any of said elements deviates from a prescribed norm, and a periodic polling of said network elements in response to an event generated in said asynchronous reporting step (col.6, lines 1-27).

9. As per claims 9 & 10 Mandal disclosed a technique for managing network elements in order to reduce the amount of monitoring related traffic, comprising the steps of partitioning a global resource into a plurality of separate nodes, assigning a fixed resource budget to each of the nodes, when any of the nodes exceeds its budget, based upon local monitoring at that node,

Art Unit: 2143

triggering a report in the node by sending a message to a central manager, and responsive to receipt of said message in said central manager, issuing a global poll of all of the nodes in said network (col.4, lines 52-56 & col.5, lines 31-44).

10. As per claim 11 Mandal disclosed the method defined in claim 8 wherein said network elements are routers switches and bridges and firewall devices (col.7, lines 29-39).

11. As per claim 12 Mandal disclosed the method defined in claim 8 wherein said network elements are application level elements such as servers, hosts, and layer 4-7 switches (col.2, lines 66-67 & col.3, lines 1-12).

Conclusion

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Hunter U.S. Patent No (6363422) discloses multi-capability facilities monitoring and control intranet for facilities management system.

Holloway U.S. Patent No (6,176,883) discloses system and method for generating unsupported network information indicators.

Abbott U.S. Patent No (6,542,887) discloses incorporating native code in Java archive files.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Asghar Bilgrami whose telephone number is 703-305-4623. The examiner can normally be reached on M-F, 8:00-5:00PM.

Art Unit: 2143

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wiley can be reached on 703-308-5221. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Asghar Bilgrami
Examiner
Art Unit 2143


DAVID WILEY
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100